Document made available under the Patent Cooperation Treaty (PCT)

International application number: PCT/US05/037031

International filing date:

14 October 2005 (14.10.2005)

Document type:

Certified copy of priority document

Document details:

Country/Office: US

Number:

60/618,695

Filing date:

14 October 2004 (14.10.2004)

Date of receipt at the International Bureau: 16 December 2005 (16.12.2005)

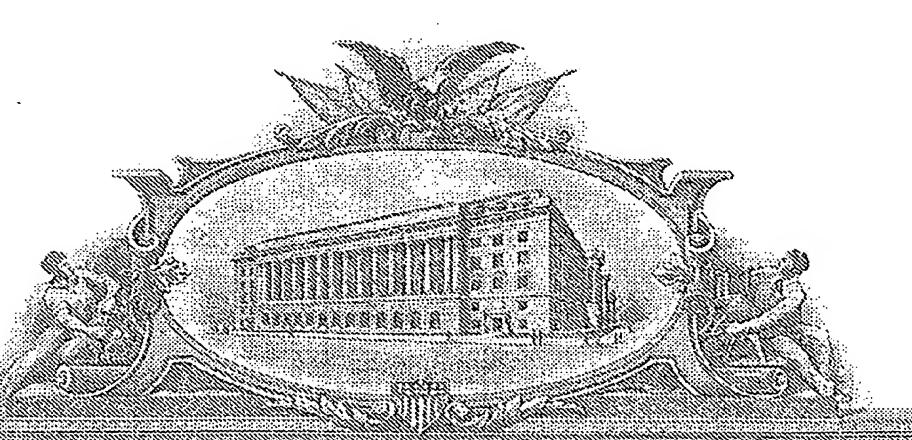
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APPLICATION NUMBER: 60/618,695

FILING DATE: October 14, 2004
- RELATED PCT APPLICATION NUMBER: PCT/US05/37031

THE COUNTRY CODE AND NUMBER OF YOUR PRIORITY APPLICATION, TO BE USED FOR FILING ABROAD UNDER THE PARIS CONVENTION, IS *US60/618,695*

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154 U.S. PTO 30/618695

PROVISIONAL APPLICATION FOR PATENT COVER SHEET

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This is a request for filing a Provisional Application for Patent under 37 CFR 1.53(c)

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Robert J. Decker

Express Mail No. ER 688 055 590 US

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Title: Vascular Catheter Device and Related Method of Making and Using the Same

Sheets of specification.Sheets of drawings.

Applicants claims small entity status as small business concern (37 CFR §§1.27(a)(2)). The Commissioner is hereby authorized to charge the Small Entity Fee of \$80 to See enclosed check no. 2142

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If this invention was made by an agency of the United States Government or under a contract with an agency of the United States Government, the government has certain rights in the invention.

By:

YES □ NO Ø ____

Dated: October 14, 2004

Respectfully submitted,

Robert J. Decker (Reg. No. 44,056)

Vascular Catheter Device and Related Method of Making and Using the Same

BACKGROUND OF THE INVENTION

Diagnostic vascular catheterization is a classification of invasive procedures in which a catheter and related are passed into a peripheral vein or artery, through the blood vessels, and into the heart or other vasculature. These procedures permit the study of the heart chambers and the arteries supplying the heart or other vasculatures of the body to diagnose illness or disease. Some examples of diagnostic vascular catheterization are, but not limited thereto, are coronary and peripheral vascular (e.g. renal artery, iliofemoral, aortic, cerebrovascular) angiography (or coronary arteriogaphy and angiography)

Therapeutic vascular catheterization (i.e., interventional catheterization) is a classification of invasive procedures in which a catheter and related are passed into a peripheral vein or artery, through the blood vessels, and into the heart or other vasculature. These procedures are intended primarily for the treatment of cardiac illness and disease as well as other vasculature illnesses and diseases. Often the goals of therapeutic vascular catheterization (interventional catheterization) have some similarities to diagnostic catheterization, except the goal is placement of the catheter to treat an underlying condition. Some examples of therapeutic cardiac catheterization are, but not limited thereto, percutaneous transluminal angioplasty (PTA) (alternatively, percutaneous transluminal coronary angioplasty (PTCA)) percutaneous coronary intervention (PCI), and percutaneous transluminal interventions (PTI). Interventional catherization to include all non-balloon angioplasty mechanisms of vascular lumen enlargement.

Some drawbacks that are associated with the various diagnostic and therapeutic vasculature catheterizations are, but not limited thereto, the unnecessary complications that can occur and restricted operations related to advancing or moving the catheter shaft and catheter tip. For example, the edge of the catheter tip puts pressure on the shoulder of the plaque thus rupturing or injuring the plaque shoulder. As a result this can, for example, release contents of plaque and lead to thrombosis or allow contrast to track between layers of artery (or vein) causing a dissection. Further, if a wire is passed through a catheter then dissection can also occur if the catheter is pushed further forward whereby a layer of the vasculature can be further

separated leading to thrombosis and dissection. Alternatively, while traversing any vascular structure atherosclerotic debris can be dislodged thus leading to embolization of debris and distal vessel occlusion. Accordingly, the amount of force and leverage applied to a catheter is compromised because of the aforementioned and other risks and complications.

There is therefore a need in the art for a more effective and safer method of practicing diagnostic and therapeutic vasculature catheterizations.

BRIEF SUMMARY OF INVENTION

Conventional diagnostic and therapeutic arterial vascular catheters have leading edges that are circular with a non blunt edge similar in shape to a drinking straw, for example. This may lead to vascular trauma due the relatively sharp edge of the catheter disrupting the layers of the vessel or plaque shoulder secondary to pressure exerted and or inherent angulation of the edge interface with the vessel wall.

The various embodiments of the present invention are to provide a blunt, atraumatic distal tip and orifice edge to the catheter. This will eliminate or mitigate catastrophic complications caused by contemporary catheters such as vascular dissection, thrombosis, distal embolization and vessel occlusion. The various embodiments of the present invention catheter tip can be shaped in various ways with solid material (compressible or non-compressible) or balloon inflation devices to create a blunt, atraumatic tip and orifice. The shape can take on numerous forms including, but not limited to olive, bulbous, rounded, spherical, hemispherical, conical, oval, tapered, beveled, chamfered, graduated and/or multi-faceted like a cut diamond (as well as dodecahedron, semi-dodecahedron, icosahedron, or semi- icosahedron, etc.). This would enable atraumatic intubation of all vascular structures. Some advantages associated with some of the embodiments include, but not limited thereto, elimination or mitigation of risks of the aforementioned complications as compared to conventional catheters and provide more aggressive intubation of vascular structures for improved leverage for delivery of therapeutic interventional hardware (i.e. balloons, stents, atherectomy devices, lasers, thrombectomy devices, etc.) in situations where conventional catheters may traumatize the vessel or fail to deliver the therapeutic hardware; secondary to concern for risk of vascular trauma and the

aforementioned complications.

BRIEF SUMMARY OF THE DRAWINGS

The foregoing and other objects, features and advantages of the present invention, as well as the invention itself, will be more fully understood from the following description of preferred embodiments, when read together with the accompanying drawings, in which:

- FIG. 1(A) illustrates a schematic elevation view of an embodiment of the present invention catheter device including a distal tip.
- FIGS. 1(B)-1(D) illustrate schematic partial views of the catheter device of FIG. 1(A) with alternative embodiments of the distal tip.
- FIG. 2 illustrates a schematic elevation and partial view of an embodiment of the present invention catheter device including a distal tip.
- FIG. 3 illustrates a schematic elevation and partial view of an embodiment of the present invention catheter device including a distal tip.
- FIGS. 4(A)-(B) illustrate schematic elevation and partial views of an embodiment of the present invention catheter device including a distal tip having a balloon in a non-inflated state and an inflated state, respectfully.
- FIGS. 5(A)-(B) illustrate schematic elevation and partial views of an embodiment of the present invention catheter device including a distal tip having a catheter tip in a non-compressed state and compressed state, respectfully.
- FIG. 6(A) is a schematic elevation view of a sheath that has been inserted into a vasculature structure such as an artery, vein, or the like.
- FIG. 6(B) is the sheath as shown in FIG. 6(A) with the catheter device of FIGS. 5(A)-(B) extending there through.
- FIGS. 7(A)-(B) illustrate schematic elevation and partial views of an embodiment of the present invention multi-lumen, multi-balloon tipped diagnostic and therapeutic vascular catheter in the non-inflated and inflated state, respectfully.
- FIGS. 8(A)-(B) illustrate schematic elevation and partial views of an embodiment of the present invention multi-balloon orifice ring / rim diagnostic and therapeutic vascular catheter in

the non-inflated and inflated state, respectfully. FIG. 8(C) illustrates a schematic end view of FIG. 8(B). FIG. 8(D) illustrates a schematic partial side view of FIG. 8(B).

DETAILED DESCRIPTION OF THE INVENTION

Some of the embodiments of the present invention provide a diagnostic vascular catheter for imaging or a therapeutic vascular catheter for vascular lumen enlargement that is atraumatic by providing, among other things, the tip of the catheter blunt in shape. It should be appreciated that the embodiments of the present invention distal tip can be utilized with the existing arterial vascular imaging and therapeutic catheters in terms of their proximal portion of the catheter and shaft shape of the catheter with regards to design, and materials. It should be appreciated that some of the embodiments of the present invention distal tip and balloons can utilize materials available in the field.

In an embodiment of the present invention catheter, the distal tip and tip orifice includes, but not limited thereto, the following: 1) solid non-compressible blunt tip and orifice/orifice edge. The material of the non-compressible tip can be composed of some material currently available for catheter tips and orifice leading edges. The leading orifice edge may be rounded toward the catheter lumen creating a smooth, non-edged orifice interface with the leading contacted portion(s) of the endovascular luminal wall tissue. The outer portion of the orifice may also be smooth and blunt in shape and gently flared toward the more proximal portion of the distal tip. This will create a smooth, blunt and atraumatic interface with the non-leading more proximal portion(s) of the contacted endovascular wall tissue. In short, the catheter orifice and distal tip will be shaped much like an olive, blunt tipped cone, sphere, hemisphere, etc. The x, y and z planes as well as the angle of curvature of the proximal and distal flared surfaces of the distal tip can be manipulated along the entire geometric spectrum of potential shapes to create a relatively spherical, olive shaped or conical shaped structure. The angle of curvature of the proximal and distal flared surfaces can also be manipulated along the entire geometric spectrum of curvature to create a relatively more blunt, conical, faceted or angulated structure. The luminal geometry of the catheter tip may remain unchanged in order to accommodate existing contemporary vascular luminal enlarging devices and/or contrast, drugs, fluid, etc. For example,

4 french would remain 4 french, 5 french would remain 5 french etc. Because a flared tip may be a larger French size than the remainder of the catheter shaft, a larger vascular sheath would be required. Up sizing the vascular sheath may be avoided by the following adjustments to the catheter tip and orifice while at the same time maintaining the same geometry as described above. First, the flared distal tip is compressible so for instance if the flared tip is 7 french it can be compressed through a 6 french sheath and reform its atraumatic blunt geometry after traversing the vascular sheath (See for example, Figs. 5-6). Second, the distal tip and orifice edge are shaped by an inflatable balloon(s) which may be commence in a deflated state and can be inflated after traversing the vascular sheath and deflated upon removal of the catheter through the vascular sheath (See for example, Figs. 4-6). The balloon is inflated by a separate lumen connected to an inert gas, radiographic contrast, fluid or air delivery system at the operator end of the catheter, for example. Separate lumens, balloons and inflation devices would be required for separate manipulation of x, y and z planes with a larger covering balloon or balloon like material or membrane covering the three x, y and z plane balloons. This would enable more detailed and/or variable shape changes as further elaborated later in the text. Alternatively the "covering" balloon could be optional and/or alternatively the balloon could have a pre-formed shape with only size of the balloon being able to be controlled by the operator. Size of the balloons could be a function of balloon material compliance and inflation pressure as in contemporary ptca balloon material for example. As previously mentioned the geometry of the balloon catheter tip and orifice can take on all shapes along the entire continual geometric spectrum of manipulation of x, y and z planes of the catheter distal tip and orifice to create a relatively conical, olive, ellipsoid, hemispherical, multifaceted or spherical shape with changing of the long and short axes as well as the angle of curvature of the proximal and distal flared surfaces. Size of the balloon tip could also be manipulated by varying the compliance of the balloon material and inflation pressure. Alternatively, the orifice edge itself can exclusively be shaped by solid means (compressible or non-compressible) or balloon inflational means as an atraumatic blunt ring. The ring would be somewhat analogous to an innertube covering the metal edge of a wheel, for example. A difference being that in this case the ring would cover the leading edge of the distal tip orifice. Whether solid or inflatable, compressible or noncompressible the geometry of the ring could be manipulated changing the axes in x, y and z

planes (as detailed above) of the ring inner diameters and lengths respectively to create the entire geometric spectrum of shapes capable with these manipulations. Again this would require separate lumina, with separate inflation devices corresponding to separate balloons in x, y and z planes for the desired effect of shape manipulation. These separate balloons could be covered by a covering balloon material (e.g., outer membrane) or alternatively left bare or alternatively inflated to a pre-formed shape with only size manipulatable. Further advantages of balloon inflation devices would be operator control of x, y and z planes of the balloons thus enabling manipulation of shape as well as size in all planes to optimally and as atraumatically as possible intubate variably shaped and sized vasculature space. In some embodiments, the method of use of this invention may be similar to contemporary diagnostic and therapeutic catheters in some aspects, but with several important safety, design features and options, and therapeutic advantages associated with the present invention. For example, regarding various embodiments of the present invention, from a safety standpoint the blunt, atraumatic edge will allow traversal of all arterial vascular space much less traumatically. The blunt geometry of the present invention catheter and orifice and related will enable contact with the vascular endoluminal wall that is atraumatic. Whereas with regards to some of the drawbacks of conventional catheters, the edge of conventional catheter orifice tips may create dissection planes, lift plaque shoulders, embolize atherosclerotic debris or perforate the vessel with forward motion in arterial vascular lumens. This is due to, for example but not limited thereto, the geometry of the edge which is relatively sharp and thus capable of "digging" into the arterial vascular luminal wall with forward pressure. For example, various angulations and points of pressure will create relatively more pressure on a smaller surface area much like razor blade as opposed dichotomously to a flat surface.

However, turning to various embodiments of the present invention, a flatter more bulbous catheter orifice/tip would be much less traumatic to the arterial luminal surface. Additionally, another advantage associated with embodiments of the present invention is the capability of providing more aggressive delivery of therapeutic vascular devices for vascular luminal enlargement. For instance recent data has suggested that so called direct delivery of stents without balloon predilitation will have multiple advantages. These include less use of radiographic contrast, shorter procedure times and omission of pre-dilatation balloon injury

outside of the stented arterial segment.

Direct stenting is often limited by inability to deliver the undeployed stent secondary to the frequent occurrence of the guiding catheter backing out of the vascular ostia secondary to translation of force backward from obstructing calcium, plaque and/or vascular angulation preventing forward translation of pressure. This problem of device delivery is commonly overcome by using guiding catheters with secondary and tertiary bends enabling leverage from an opposing vascular wall and so called "deep seating" the guiding catheter and/or simply using larger French guiding catheters with similar manipulations. This commonly leads to successful delivery of the device at the expense of risking vascular trauma and the associated catastrophic sequelae such as dissection leading to vessel occlusion, plaque disruption leading to thrombosis and vessel occlusion and vessel perforation all of which have a high risk of leading to death, tissue infarction, stroke, hemorrhage and circulatory collapse as well as emergency surgery.

In contrast, another advantage associated with embodiments of the present invention is the capability of providing deflecting contrast streaming or guide wires away from the vascular luminal wall, thus further diminishing potential vascular trauma. As previously mentioned operator controlled balloon inflation devices of some embodiments of the present invention would allow manipulation of balloon tipped x, y and z planes thus enabling refined control of direction of the catheter tip/orifice and therefore direction of contrast streaming or guide wire direction. Therefore, for instance if the catheter orifice was angulated toward an ulcerated complex plaque, balloon inflation could be performed thus pushing the orifice away from the plaque. The blunt surface of the balloon tip would be relatively atraumatic to the plaque and contrast and/or guide wires would be much less likely to traumatize, dissect, perforate, etc. the vessel. With regards to various embodiments of the present invention, it is feasible that traversal of all vascular space would not even require a J tipped guide wire as the various embodiments of blunt catheter tip would effectively accomplish the same goal. This would decrease procedure cost, eliminate another step in the standard procedure sequence and thus decrease the time of the procedure. Given the extraordinary number of diagnostic and therapeutic vascular procedures performed throughout the world today a substantial amount of morbidity and mortality could be eliminated and/or diminished with this invention.

Furthermore many previously unsuccessful conventional procedures could be rendered

successful due to the ability of the present invention to be more aggressive in device delivery while at the same time reducing risks of vascular trauma and its catastrophic consequences.

Turning to FIG. 1(A), FIG. 1(A) illustrates a schematic elevation view of an embodiment of the present invention catheter device 11 including a catheter shaft 12, interface member 20, proximal catheter portion 13, distal catheter portion 15, and a distal tip 17 having an orifice 19 defined by the lumen of the catheter therein and with an orifice edge 14. The distal tip 17 is a non-traumatic (i.e., atraumatic) shape such as, but not limited thereto, any of the following: elliptical, spherical, oval, rounded, olive, bulbous, blunt, and rounded. It should be appreciated that the distal tip 17 may not necessarily be entirely elliptical or olive shaped. For example, as shown in FIG. 1(B), the shape of the distal tip 17 may be semi-elliptical, semi-spherical, hemispherical, semi-oval, partly rounded or partly olive. The distal tip 17 provides a blunt and non-traumatic effect or interaction when the catheter shaft 12 or portion thereof and/or distal tip 17 are advanced, translated, turned or moved through the vasculature. It should be appreciated that the interface member 20 may include a number of systems and devices including, but not limited thereto, manifold, flusher, pressure manometer, etc.

Alternatively, as shown in FIG. 1(C), the shape of the distal tip 17 may be tapered, beveled, chamfered, graduated, or multifaceted (e.g., like a diamond or the like (e.g., dodecahedron, semi-dodecahedron, icosahedron, or semi-icosahedron, etc.)). The distal tip 17 shall be tapered, beveled, chamfered, graduated or multifaceted in a manner to provide a blunt and non-traumatic effect or interaction when the catheter shaft 12 or portion thereof and/or distal tip 17 are advanced, translated, turned or moved through the vasculature.

As shown in FIG. 1(D), the shape of the distal tip 17 may conical shaped or substantially conical shaped or the like.

The distal tip 17 may be comprised of a variety of materials including at least one of the following or combinations thereof: elastomeric, rubber, rubber-like, plastic, and polymer. The catheter shaft and related may be comprised of the following materials, as well as other materials available for catheters.

Turning to FIG. 2, FIG. 2 is a schematic elevation view of a partial catheter device 11 including a catheter shaft 12, proximal catheter portion 13, distal catheter portion 15 and a distal tip 17 having an orifice 19 defined by the lumen of the catheter therein and with an orifice edge

14. Additionally, a non-inflatable non-traumatic (i.e., atraumatic) ring or rim 18 is provided so as to, among other things, avoid or mitigate complications such as trauma, dissection and interference with the vascular walls or anatomy. This rim may be a variety shapes such as a bumper, balloon or tube, for example. The rim 18 may run continuously around the circumference of the orifice 19 as illustrated, or alternatively, the ring or rim 18 may be semicontinuous, i.e., with individual breaks, segments or interruptions (not shown). As mentioned above, the distal tip 17 may be any one of the following such as, but not limited thereto, any of the following: elliptical, spherical, oval, rounded, olive, bulbous, and rounded. It should be appreciated that the distal tip 17 may not necessarily be entirely elliptical or olive shaped. For example, as shown in FIG. 1(A), the shape of the distal tip 17 may be semi-elliptical, semi-spherical, hemispherical, semi-oval, partly rounded or partly olive. Alternatively, the non-inflatable distal tip 16 may be tapered, beveled, chamfered, graduated, or multifaceted (e.g., like a diamond or the like).

Turning to FIG. 3, FIG. 3 is a schematic elevation view of a partial catheter device 11 including a catheter shaft 12, proximal catheter portion 13, distal catheter portion 15 and a distal tip 17 having an orifice 19 defined by the lumen of the catheter therein and with an orifice edge. Additionally, a non-inflatable non-traumatic (i.e., atraumatic) rim 16 is provided so as to avoid or mitigate complications such as trauma, dissection and interference with the vascular walls or anatomy. This non-inflatable ring or rim 16 may be a variety shapes such as a bumper, cushion, or tube, for example. The non-inflatable rim 16 may run continuously around the circumference or perimeter of the orifice 19 as illustrated, or alternatively, the non-inflatable rim 16 may be semi-continuous, i.e., having breaks, segments or interruptions (not shown). As mentioned above, the distal tip 17 may be any one of the following such as, but not limited thereto, any of the following: elliptical, spherical, oval, rounded, olive, bulbous, and rounded. It should be appreciated that the distal tip 16 may not necessarily be entirely elliptical or olive shaped. For example, as shown in FIG. 1(A), the shape of the distal tip 16 may be semi-elliptical, semispherical, semi-oval, partly rounded, or partly olive. Alternatively, the distal tip 16 may be tapered, beveled, chamfered, graduated, or multifaceted (e.g., like a diamond or the like(e.g., dodecahedron, semi-dodecahedron, icosahedron, or semi- icosahedron, etc.)).

Turning to FIG. 4(A), FIG. 4(A) is a schematic elevation view of a partial catheter

device 111 including a catheter shaft 112, proximal catheter portion 113, distal catheter portion 115 and a distal tip 117 having a balloon 131 (shown in a non-inflated state) or any inflatable means located proximally to or immediately at the orifice 119. Turning to FIG. 4(B), FIG. 4(B) illustrates the partial catheter device 111 of FIG. 4(A) with the balloon 131 (or any inflatable means) in an inflated state. The inflated balloon 131 (or any inflatable device) provides a blunt effect or interaction when the catheter shaft 112 or portion thereof and/or distal tip 117 are advanced, translated, turned or moved through the vasculature.

Turning to FIG. 5(A), FIG. 5(A) is a schematic elevation view of a partial catheter device 211, including a catheter shaft 212, proximal catheter portion 213, distal catheter portion 215 and a distal tip 217 having an orifice 219 wherein the tip 217 may have a design as discussed with the embodiments associated with anyone of FIGS. 1-4. In addition, the distal tip 217 (as shown in a non-compressed state) is made of a material that is compressible so as to be able to reduce the cross-section as desired or required. Turning to FIG. 5(B), FIG. 5(B) illustrates the partial catheter device 211 of FIG. 5(A) with the distal tip 217 in the compressed state.

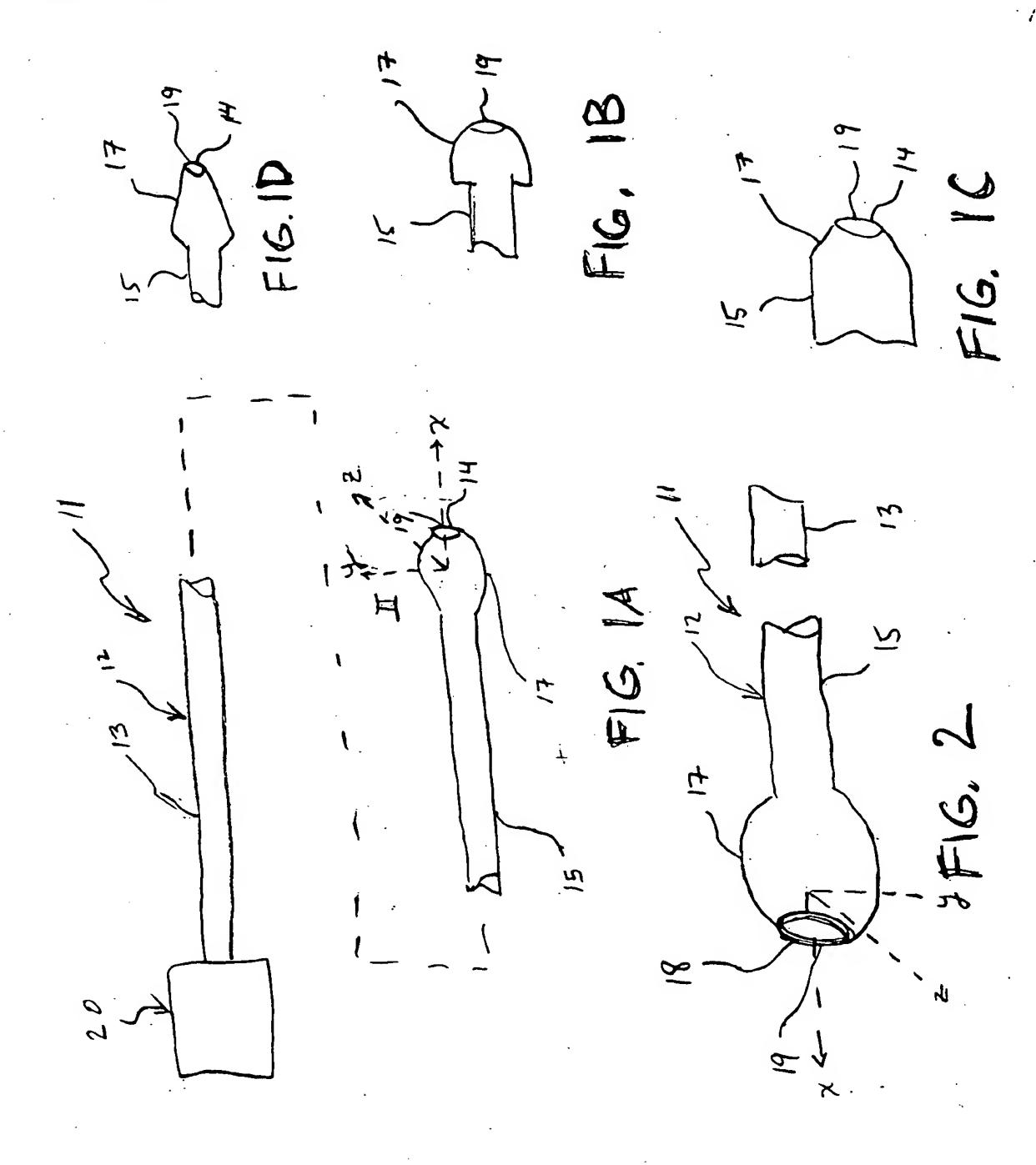
Turning to FIG. 6(A), FIG. 6(A) is a schematic elevation view of a sheath 241 that has been inserted into a vasculature structure 243 such as an artery, vein, or the like. FIG. 6(B) is the sheath 241 as shown in FIG. 6(A) with the catheter device 211 extending there through. The compressible distal tip 217 has cross-section larger than the cross-section of the sheath 241 or lumen 242 of the sheath 241. During use of the compressible catheter device 211 the catheter 211 is passed through the lumen 242 of the sheath 241 in a compressed state and expands after it exits the end of the sheath 241. It should be appreciated that the design of the catheter and tip may be as discussed with the embodiments associated with anyone of FIGS. 1-4; however the cross-section of the sheath could need to be larger to accommodate a distal tip of a catheter that is not compressible enough to fit through a narrower sheath lumen

In at least some of the embodiments of the present invention, during operation the distal tip of the catheter and/or other aspects of the catheter, the present invention is able to put less pressure on the shoulder of the plaque and/or vasculature walls/structures and therefore avoid or minimize the rupturing, traumatizing or injuring the plaque shoulder and/or vascular walls/structures. As a result, for example, this prevents or minimizes the release of plaque

content which can lead to thrombosis or other illnesses or complications. Similarly, some embodiments of the present invention avoid or mitigate contrast from tracking between layers of artery (or vein) that causes dissection or other injuries. Accordingly, the amount of force and leverage that can be applied to a catheter and catheter tip is improved because the present invention avoids or mitigates any of the aforementioned complications, injuries or illnesses, as well as other existing complications, injuries or illnesses in the field of catheterization.

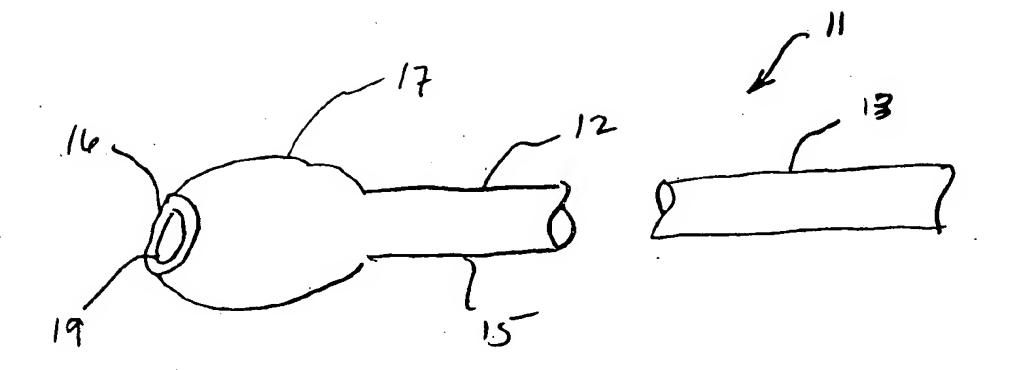
Additionally, in at least some of the embodiments of the present invention, during operation wherein a wire or other device is passed through the catheter, the present invention avoids or mitigates the occurrence of a dissection. Accordingly, the amount of force and leverage that can be applied to a catheter and catheter tip is improved because the present invention avoids or mitigates any of the aforementioned complications, injuries or illnesses, as well as other existing complications, injuries or illnesses in the field of catheterization.

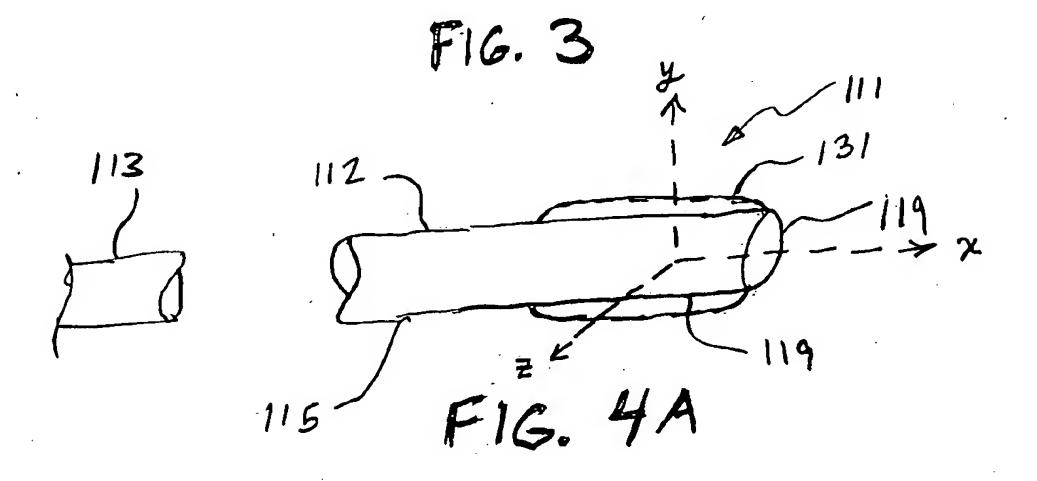
The invention may be embodied in other specific forms without departing from the spirit or essential characteristics thereof. The foregoing embodiments are therefore to be considered in all respects illustrative rather than limiting of the invention described herein.

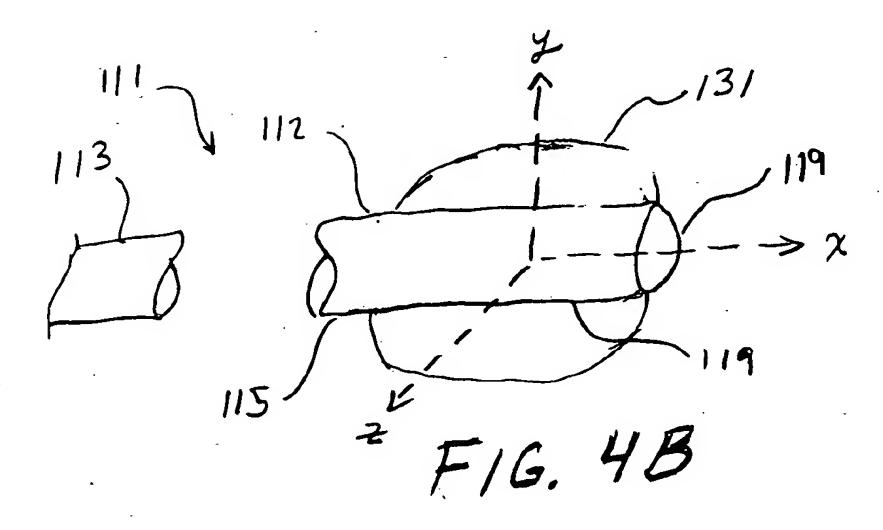


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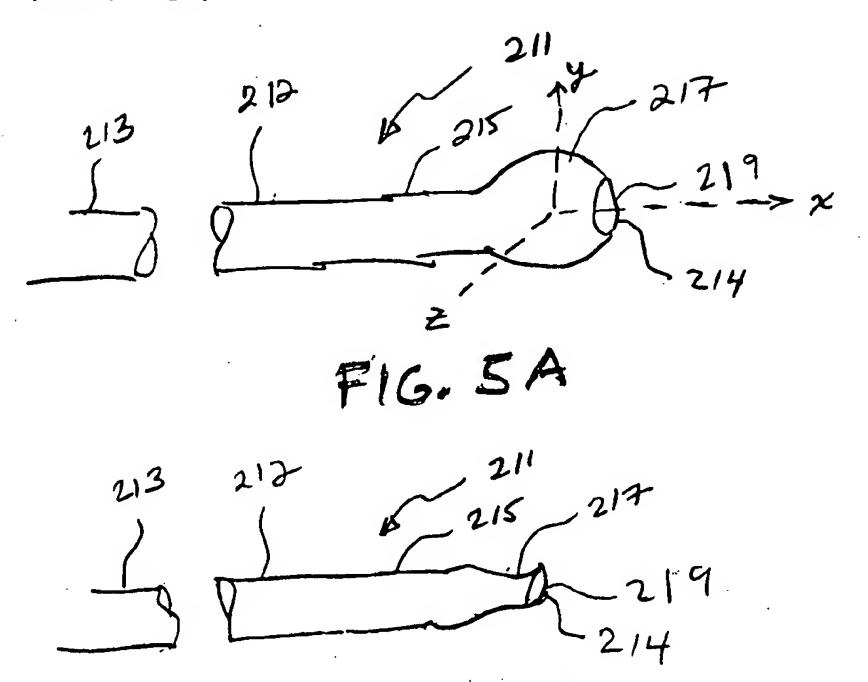
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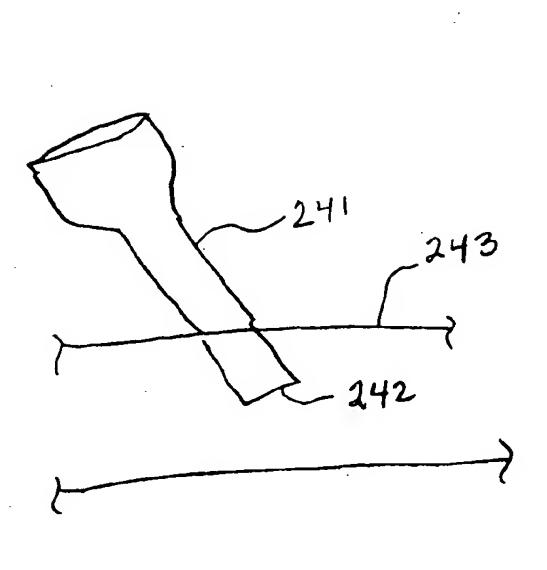




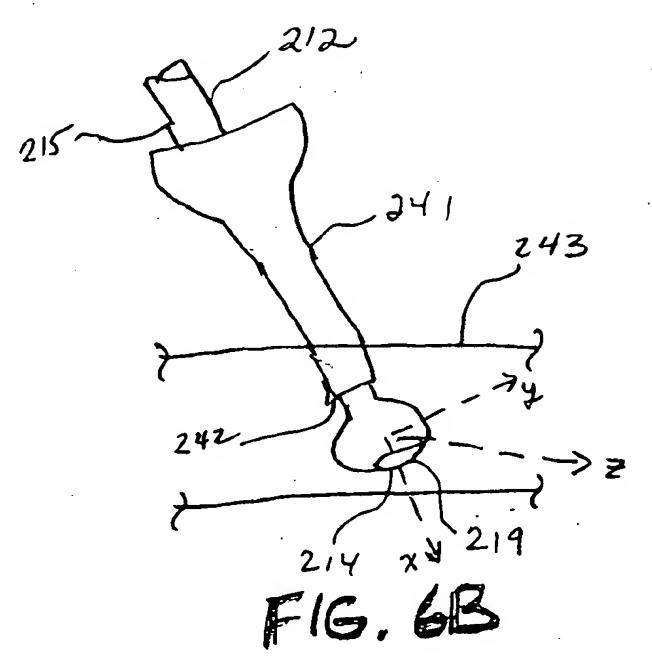


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proximal parties uninfluted balloon(s) F16 7A distributed cutteter partion prosonal distalin F16.78 In X-plane catheter device optional mem brane Relative inflation in X, y, & planes enables variable shape change across the full geometric spectrum of potential shapes

z plane makes the balloon longer 1001-01 FIG. 8D uninflated distal eatheter. ballom(5) FIG, 8A proximal cutheter partian orifice inflated balloon (5) F16.8B orifice X-plane can make FIG.8C (Schamutic stated bulloon (5) end view) Z-plane can make oval injoit of plane of paper 5/5 BEST AVAILABLE COPY

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DECKER, Robert, J. 2679 Teakwood Drive Charlottesville, VA 22911 ETATS-UNIS D'AMERIQUE

(PCT Administrative Instructions, Section 411)

Date of mailing (day/month/year)
12 January 2006 (12.01.2006)

Applicant's or agent's file reference
1001-02

International application No.
PCT/US2005/037031

International publication date (day/month/year)

International publication date (day/month/year)

Priority date (day/month/year)
14 October 2004 (14.10.2004)

Applicant

CROSSMAN, Arthur, W.

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14 October 2004 (14.10.2004)

60/618,695
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